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7590

11/21/2006

Candice J. Clement
 Nixon Peabody LLP
 Clinton Square
 P.O. Box 31051
 Rochester, NY 14603-1051

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/N04.629

03/19/2004

Derrick W. Lucey

19226/2291 (R-5824)

7869

TITLE OF INVENTION: PROCESS FOR PRODUCING SEMICONDUCTOR NANOCRYSTAL CORES, CORE-SHELL, CORE-BUFFER SHELL, AND MULTIPLE LAYER SYSTEMS IN A NON-COORDINATING SOLVENT UTILIZING IN SITU SURFACTANT GENERATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

YES

\$700

~~\$0~~-\$300

\$0

~~\$700~~-\$1000

02/21/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
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NAZARIO GONZALEZ, PORFIRIO

1621

556-001000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Nixon Peabody LLP

2.

3.

3. ASSIGNEE: NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

The Research Foundation of State University of New York Amherst, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant: a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Alice Y. Choi

Date

January 29, 2007

Typed or printed name

Alice Y. Choi

Registration No

45,758

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